## Static Analysis of Dynamically Typed Languages made Easy

Yin Wang

School of Informatics and Computing

Indiana University

#### Overview

Work done as two internships at Google (2009 summer and 2010 summer)

#### Motivation:

- The Grok Project: static analysis of all code at Google (C++, Java, JavaScript, Python, Sawzall, Protobuf ...)
- Initial goal was not ambitious:
  - Implement "IDE-like" code-browsing
  - Turns out to be hard for Python

#### **Achieved Goals**

- Build high-accuracy semantic indexes
- Detect and report semantic bugs
  - type errors
  - missing return statement
  - unreachable code
  - . . .

### **Demo Time**



## Problems Faced by Static Analysis of Dynamically Typed Languages

- Dynamic typing makes it hard to resolve some names
- Mostly happen in polymorphic functions

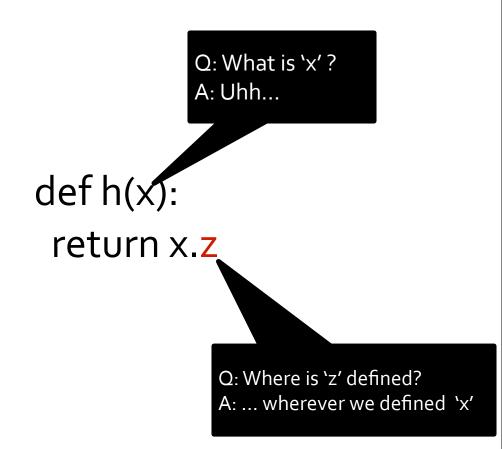
```
def h(x): return x.z
```

- Dynamic typing makes it hard to resolve some names
- Mostly happen in polymorphic functions

def h(x): return x.z

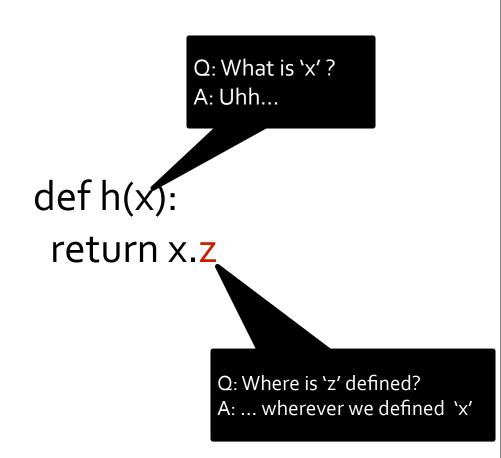
Q: Where is 'z' defined?
A: ... wherever we defined 'x'

- Dynamic typing makes it hard to resolve some names
- Mostly happen in polymorphic functions



- Dynamic typing makes it hard to resolve some names
- Mostly happen in polymorphic functions

- use a static type system
- use inter-procedural analysis to infer types



#### Static Type System for Python

Mostly a usual type system, with two extras: union and dict

- primitive types
  - int, str, float, bool
- tuple types
  - (int,float), (A, B, C)
- list types
  - [int], [bool], [(int,bool)]
- dict types
  - {int => str}, {A => B}

- class types
  - ClassA, ClassB
- union types
  - {int | str}, {A | B | C}
- recursive types
  - #1(int, 1), #2(int -> 2)
- function types
  - int -> bool, A -> B

#### 2. Problems with Control-Flow Graph

- CFGs are tricky to build for highorder programs
- Attempts to build CFGs have led to complications and limitations in control-flow analysis
  - Shivers 1988, 1991
    - build CFG after CPS
  - Might & Shivers 2006,2007
    - solve problems introduced by CFG
  - Vardoulakis & Shivers 2010,2011
    - solve problems introduced by CPS

def g(f,x): return f(x)

def h1(x):
return x+1

def h2(x): return x+2

#### 2. Problems with Control-Flow Graph

Where is the CFG target?

- CFGs are tricky to build for highorder programs
- Attempts to build CFGs have led to complications and limitations in control-flow analysis
  - Shivers 1988, 1991
    - build CFG after CPS
  - Might & Shivers 2006,2007
    - solve problems introduced by CFG
  - Vardoulakis & Shivers 2010,2011
    - solve problems introduced by CPS

def g( $\mathbf{f}$ ,x)://return  $\mathbf{f}$ (x)

def h1(x):
return x+1

def h2(x): return x+2

#### 2. Problems with Control-Flow Granh

Where is the CFG target?

- CFGs are tricky to build for highorder programs
- Attempts to complication
   control-flow

#### Solution:

- Don't CPS the input program
- Don't try constructing the CFG
- Use direct-style, recursive abstract interpreter
- Shivers 1900, 1991
  - build CFG after CPS
- Might & Shivers 2006,2007
  - solve problems introduced by CFG
- Vardoulakis & Shivers 2010,2011
  - solve problems introduced by CPS

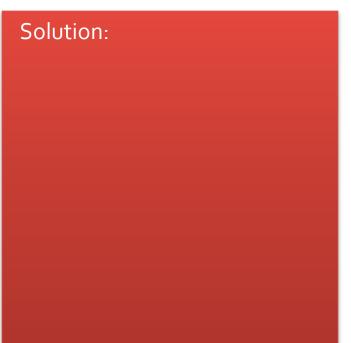
def g(**f**,x):// return **f**(x)

def h1(x): return x+1

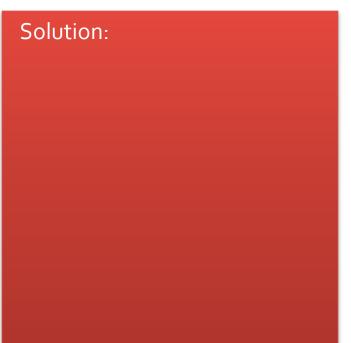
def h2(x): return x+2

```
class A:
    x = 1
obj = A()
obj.y = 3
print obj.x, obj.y
```

```
class A:
    x = 1
obj = A()
obj.y = 3
print obj.x, obj.y
```



```
class A:
    x = 1
obj = A()
obj.y = 3
print obj.x, obj.y
```



# class A: x = 1 obj = A() obj.y = 3 print obj.x, obj.y

#### Solution:

create "abstract objects" at constructor calls

# class A: x = 1 obj = A() obj.y = 3 print obj.x, obj.y

#### Solution:

create "abstract objects" at constructor calls

# class A: x = 1 obj = A() obj.y = 3 print obj.x, obj.y

- create "abstract objects" at constructor calls
- Actually change the abstract objects when fields are created

# class A: x = 1 obj = A() obj.y = 3 print obj.x, obj.y

- create "abstract objects" at constructor calls
- Actually change the abstract objects when fields are created

## class A: x = 1 obj = A() obj.y = 3 print obj.x, obj.y

- create "abstract objects" at constructor calls
- Actually change the abstract objects when fields are created
- Classes are not affect by the change

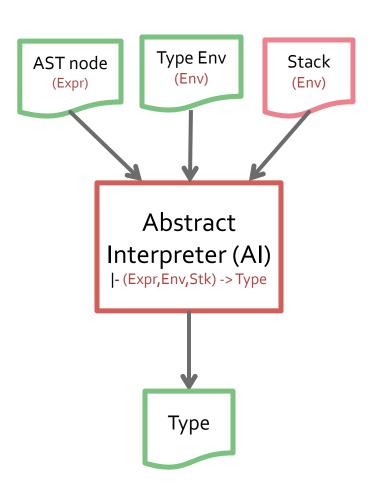
## 4. Problems with More Powerful Dynamic Features

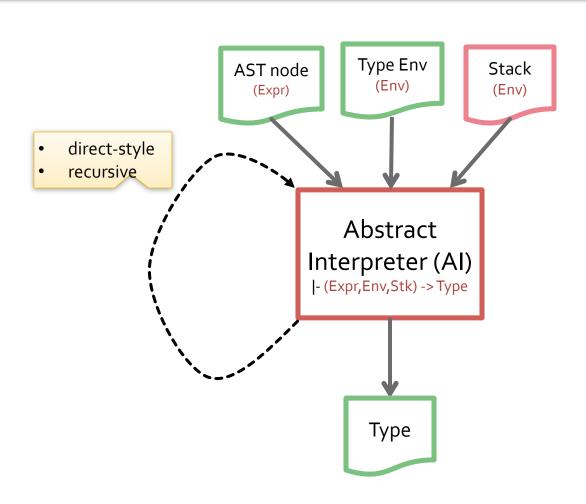
- direct operations on \_\_dict\_\_ (e.g. setattr, delattr, ...)
- dynamic object reparenting
- import hacks
- eval
- \_\_\_\_

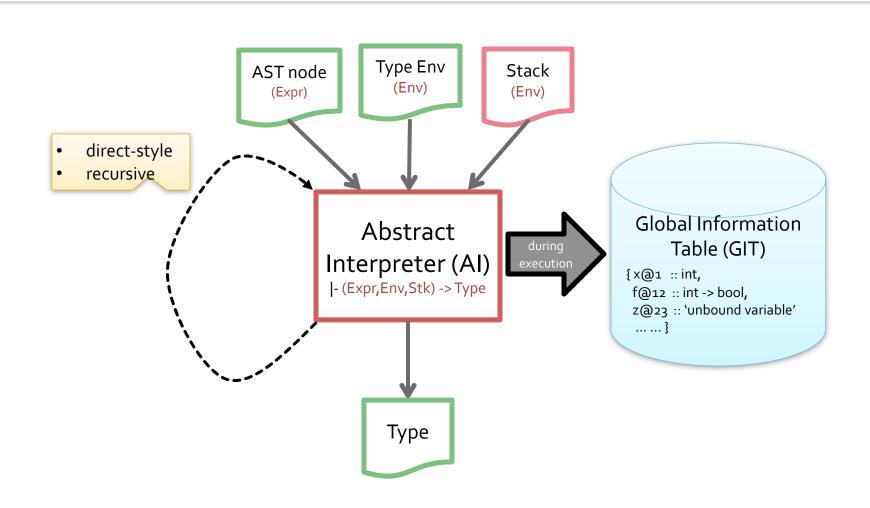
## 4. Problems with More Powerful Dynamic Features

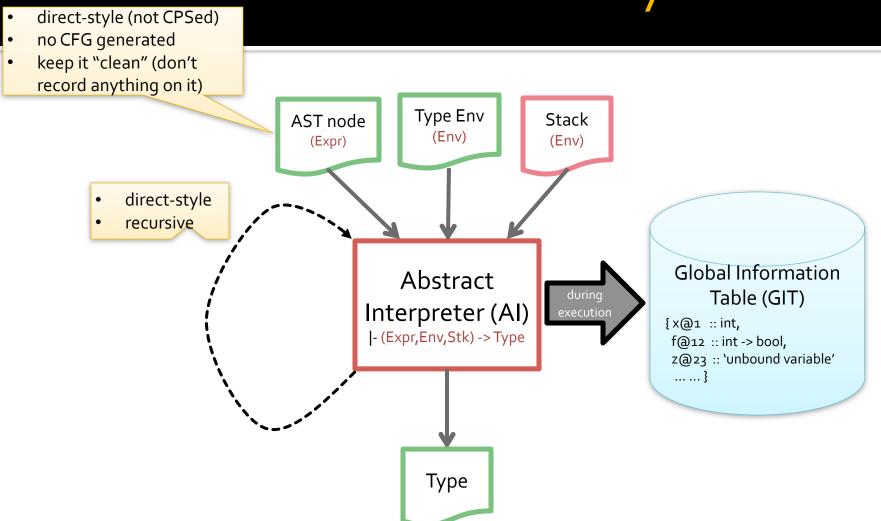
- direct operations on \_\_dict\_\_ (e.g. setattr, delattr, ...)
- dynamic object reparenting
- import hacks
- eval
- \_\_\_\_\_

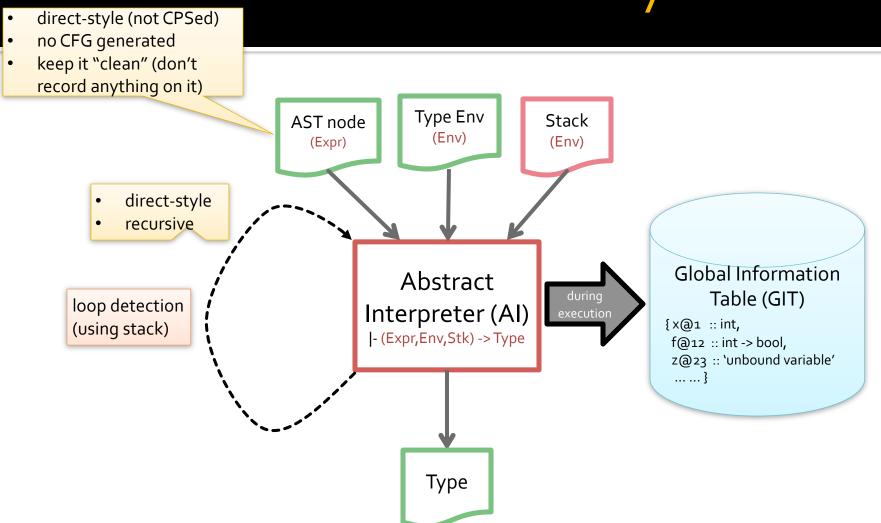
Solution: "Python Style Guide"











#### Actual Code of Main Interpreter

```
main type inferencer
def infer(exp, env, stk):
    if IS(exp, Module):
        return infer(exp.body, env, stk)
    elif IS(exp, Name):
       b = lookup(exp.id, env)
       if (b <> None):
            putInfo(exp, b)
            return b
        else:
            try:
                                           # try use information from Python interpreter
                t = type(eval(exp.id))
                return [PrimType(t)]
            except NameError as err:
                putInfo(exp, err)
                return [err]
    elif IS(exp, Lambda):
       c = Closure(exp, env)
        for d in exp.args.defaults:
            dt = infer(d, env, stk)
            c.defaults.append(dt)
        return [c]
    elif IS(exp, Call):
        return invoke (exp, env, stk)
    else:
        return [UnknownType()]
```

#### Actual Code of Main I

stack of nodes on path (recursion detection)

input expression

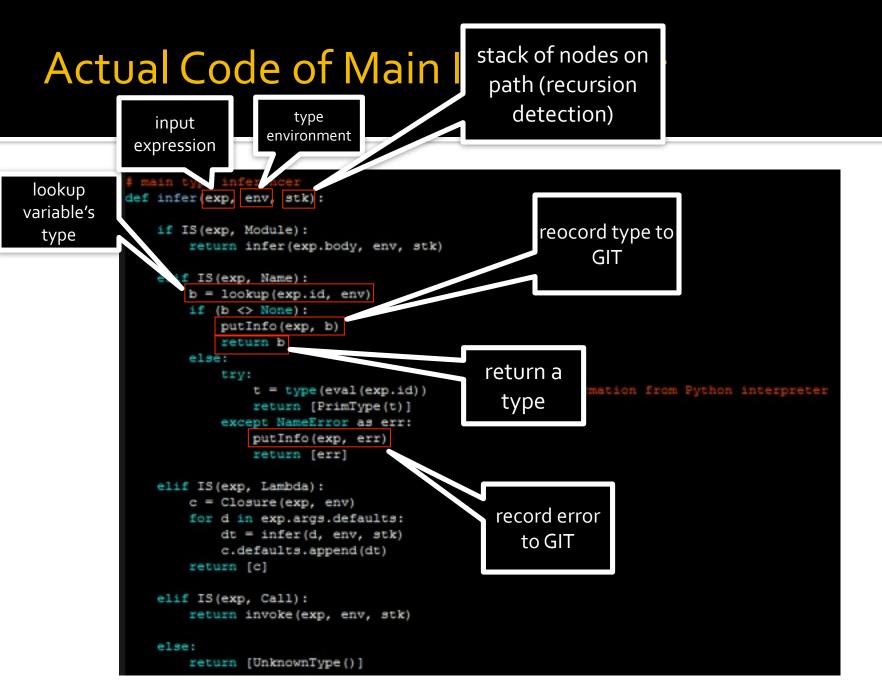
type environment

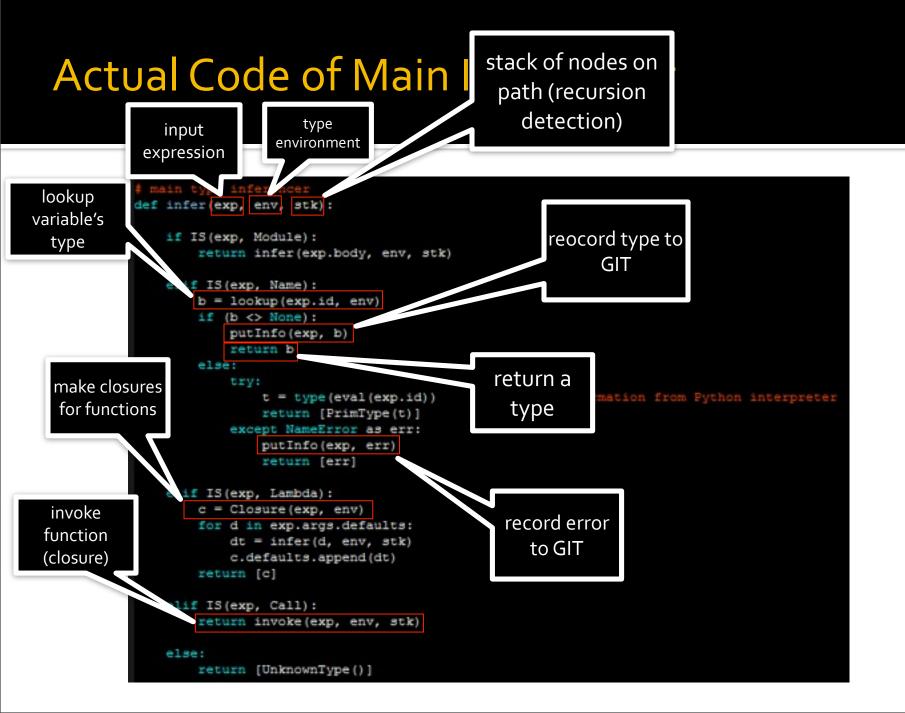
```
def infer(exp, env, stk):
    if IS(exp, Module):
        return infer(exp.body, env, stk)
    elif IS(exp, Name):
       b = lookup(exp.id, env)
        if (b <> None):
            putInfo(exp, b)
            return b
        else:
            try:
                                           # try use information from Python interpreter
                t = type(eval(exp.id))
                return [PrimType(t)]
            except NameError as err:
                putInfo(exp, err)
                return [err]
    elif IS(exp, Lambda):
        c = Closure(exp, env)
        for d in exp.args.defaults:
            dt = infer(d, env, stk)
            c.defaults.append(dt)
        return [c]
    elif IS(exp, Call):
        return invoke (exp, env, stk)
    else:
        return [UnknownType()]
```

#### Actual Code of Main I

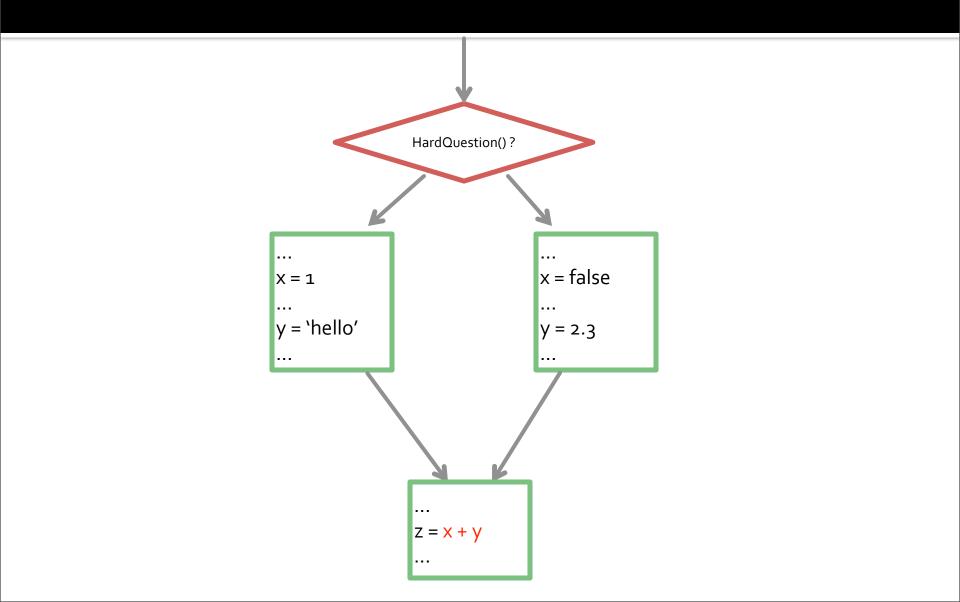
stack of nodes on path (recursion detection)

```
type
                input
                               environment
              expression
 lookup
             def infer(exp, env, stk):
variable's
                 if IS(exp, Module):
                                                                  reocord type to
  type
                     return infer(exp.body, env, stk)
                                                                         GIT
                    f IS(exp, Name):
                     b = lookup(exp.id, env)
                     if (b <> None):
                         putInfo(exp, b)
                         return b
                     else:
                                                           return a
                         try:
                                                                         mation from Python interpreter
                             t = type(eval(exp.id))
                                                             type
                             return [PrimType(t)]
                         except NameError as err:
                             putInfo(exp, err)
                             return [err]
                 elif IS(exp, Lambda):
                     c = Closure(exp, env)
                     for d in exp.args.defaults:
                         dt = infer(d, env, stk)
                         c.defaults.append(dt)
                     return [c]
                 elif IS(exp, Call):
                     return invoke (exp, env, stk)
                 else:
                     return [UnknownType()]
```

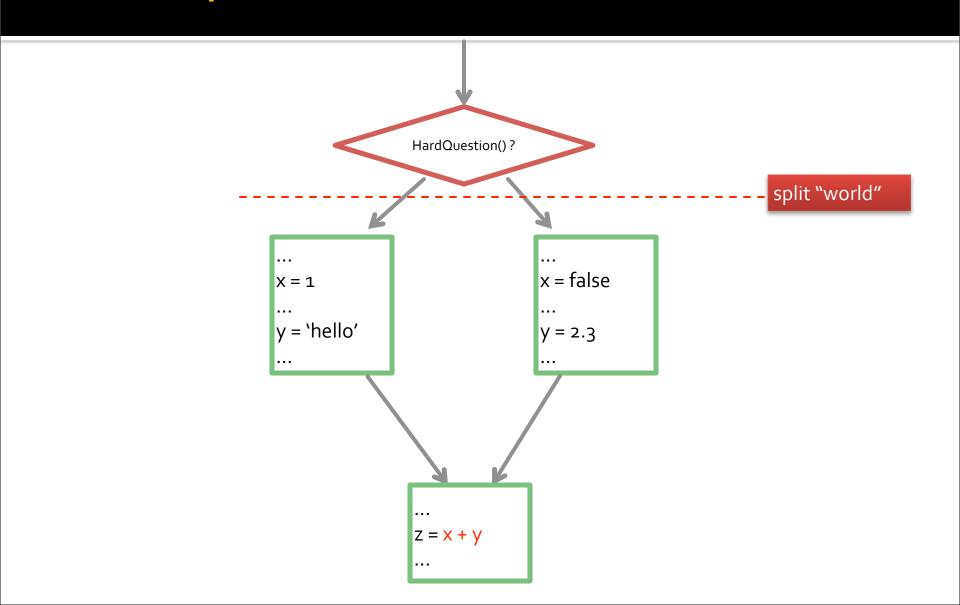




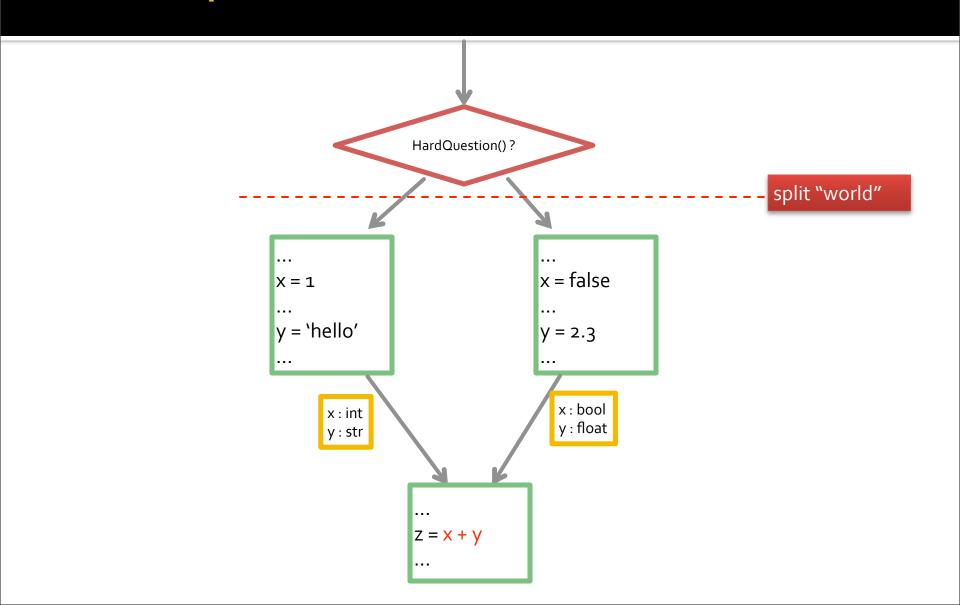
### "Multiple-Worlds Model"



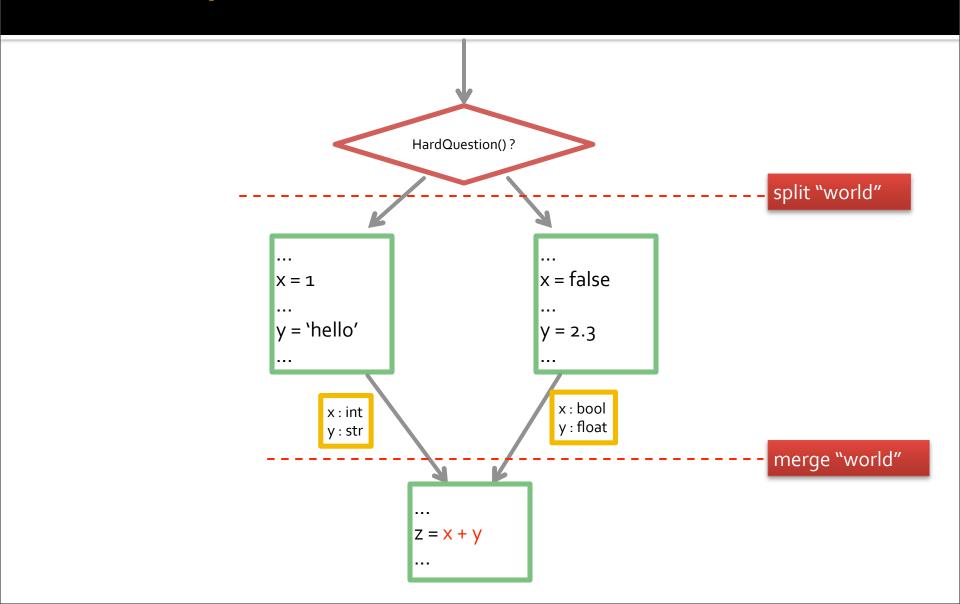
## "Multiple-Worlds Model"



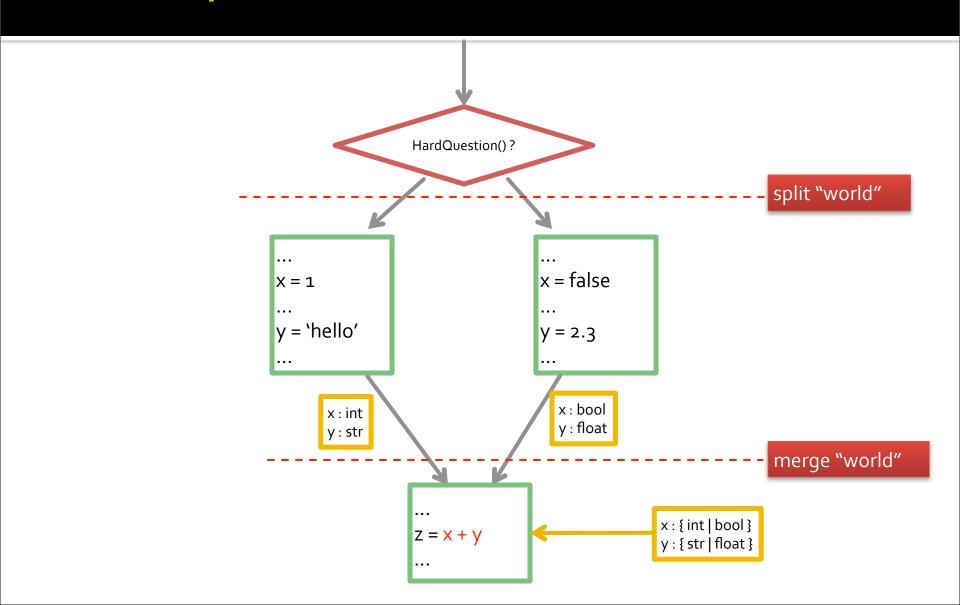
## "Multiple-Worlds Model"



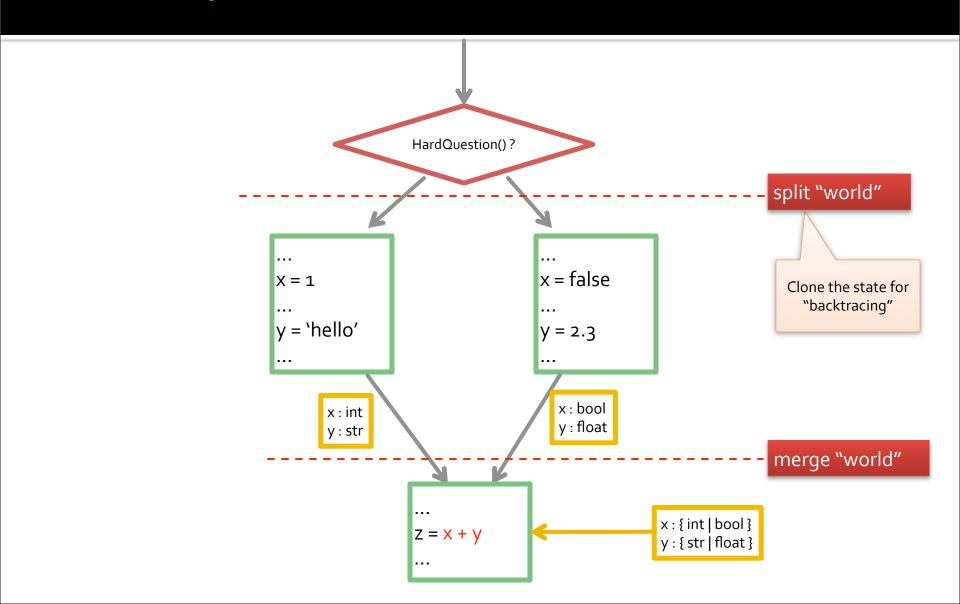
# "Multiple-Worlds Model"



# "Multiple-Worlds Model"



# "Multiple-Worlds Model"



```
1: def fact(n):
2: if (n == 0):
3: return 1
4: else:
5: return n * fact(n-1)
6:
7: fact(5)
```

```
1: def fact(n):
2: if (n == 0):
3: return 1
4: else:
5: return n * fact(n-1)
6:
7: fact(5)
```

Assumption: the same call site with the same argument types always produces the same output type (or nontermination)

Assumption: the same call site with the same argument types always produces the same output type (or nontermination)

```
< fact@7, int>
 1: def fact(n):
     if (n == 0):
                                                           n = 0?
      return 1
     else:
     return n * fact(n-1)
                                                                     return n * fact(n-1)
                                         return 1
 7: fact(5) ·
Assumption: the same call
site with the same argument
                                     fact@7 may return int
```

Assumption: the same call site with the same argument types always produces the same output type (or nontermination)

nontermination)

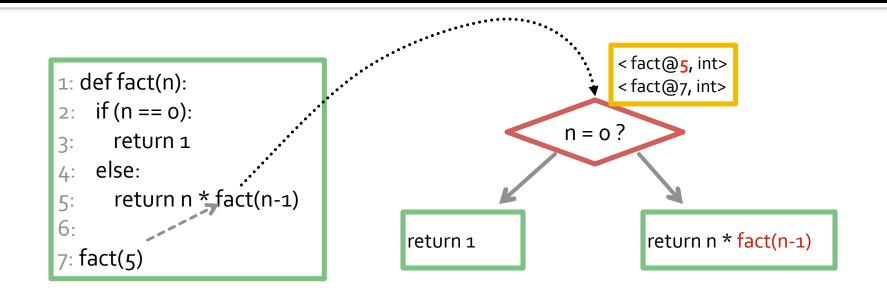
```
< fact@7, int>
 1: def fact(n):
     if (n == 0):
                                                           n = 0?
      return 1
     else:
     return n * fact(n-1)
                                                                     return n * fact(n-1)
                                         return 1
 7: fact(5) ·
                                                                                        < fact@5, int>
Assumption: the same call
site with the same argument
                                     fact@7 may return int
types always produces the
same output type (or
```

same output type (or

nontermination)

```
< fact@7, int>
 1: def fact(n):
     if (n == 0):
                                                                                           not on stack
                                                             n = 0?
       return 1
                                                                                           not a loop
     else:
      return n * fact(n-1)
                                                                       return n * fact(n-1)
                                          return 1
 7: fact(5) ·
                                                                                           < fact@5, int>
Assumption: the same call
site with the same argument
                                      fact@7 may return int
types always produces the
```

```
1: def fact(n):
2: if (n == 0):
3: return 1
4: else:
5: return n * fact(n-1)
6:
7: fact(5)
```

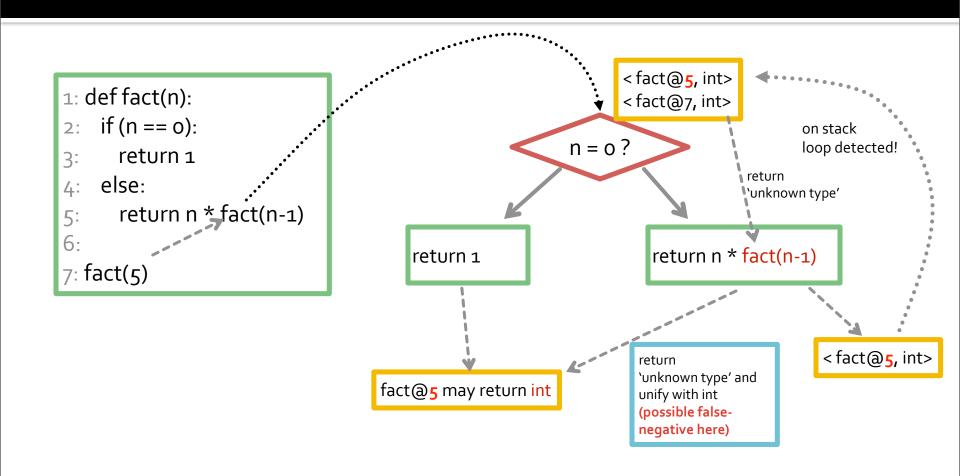


```
< fact@5, int>
1: def fact(n):
                                                                   < fact@7, int>
    if (n == 0):
                                                            n = 0?
      return 1
    else:
     return n * fact(n-1)
                                                                      return n * fact(n-1)
                                         return 1
7: fact(5)
                                     fact@5 may return int
```

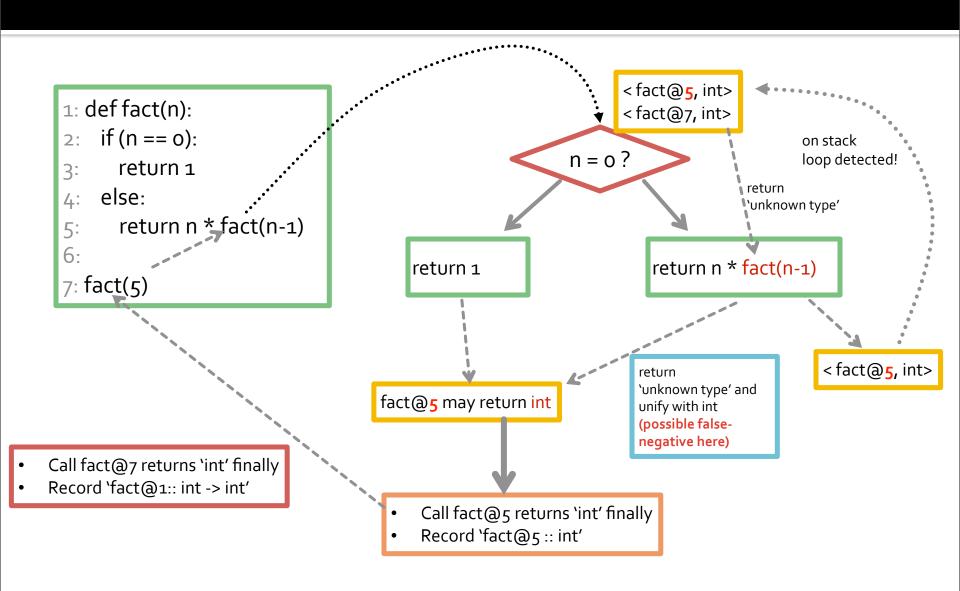
```
< fact@5, int>
1: def fact(n):
                                                                   < fact@7, int>
    if (n == 0):
                                                             n = 0?
      return 1
    else:
     return n * fact(n-1)
                                                                       return n * fact(n-1)
                                          return 1
7: fact(5)
                                                                                           < fact@5, int>
                                      fact@5 may return int
```

```
< fact@5, int>
1: def fact(n):
                                                                     < fact@7, int>
    if (n == 0):
                                                                                           on stack
                                                              n = 0?
                                                                                           loop detected
      return 1
    else:
      return n * fact(n-1)
                                                                         return n * fact(n-1)
                                           return 1
7: fact(5)
                                                                                              < fact@5, int>
                                       fact@5 may return int
```

```
< fact@5, int>
1: def fact(n):
                                                                      < fact@7, int>
    if (n == 0):
                                                                                             on stack
                                                                n = 0?
                                                                                             loop detected
      return 1
                                                                                      return
    else:
                                                                                     \unknown type'
      return n * fact(n-1)
                                                                          return n * fact(n-1)
                                            return 1
7: fact(5)
                                                                                                < fact@5, int>
                                       fact@5 may return int
```



```
< fact@5, int>
1: def fact(n):
                                                                            < fact@7, int>
    if (n == 0):
                                                                                                    on stack
                                                                    n = 0?
                                                                                                    loop detected
       return 1
                                                                                            return
    else:
                                                                                            \unknown type'
       return n * fact(n-1)
                                                                               return n * fact(n-1)
                                               return 1
7: fact(5)
                                                                                                       < fact@5, int>
                                                                              return
                                                                              'unknown type' and
                                          fact@5 may return int
                                                                              unify with int
                                                                              (possible false-
                                                                              negative here)
                                                Call fact@5 returns 'int' finally
                                                Record 'fact@5 :: int'
```



```
1: def fact(n):
2: if (n == 0):
3: return 1
4: else:
5: return n * fact(n-1)
6:
7: fact(5)
```

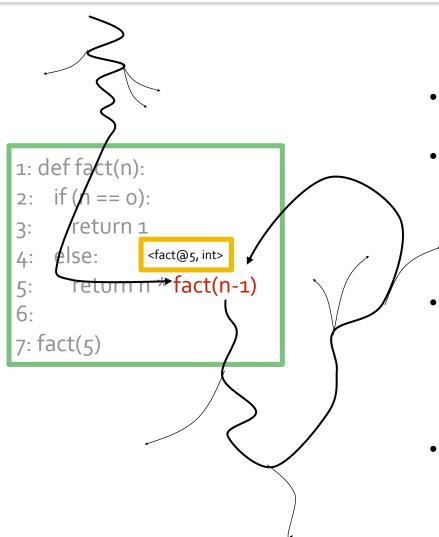
- Every program is a dynamic circuit
- Every call site is a 'conjuction point' in the dynamic circuit, because it connects to an instance of a function body
- The same call site with the same arguments is a unique joint point in the process graph, with a deterministic 'future'
- If the same < call site, argument type>
  combination has appear before in the
  path, there must be a loop

```
1: def fact(n):
     else:
      return n * fact(n-1)
7: fact(5)
```

- Every program is a dynamic circuit
- Every call site is a 'conjuction point' in the dynamic circuit, because it connects to an instance of a function body
- The same call site with the same arguments is a unique joint point in the process graph, with a deterministic 'future'
- If the same < call site, argument type>
  combination has appear before in the
  path, there must be a loop

```
1: def fact(n):
                   <fact@5, int>
       else:
        <del>return n *</del> fact(n-1)
7: fact(5)
```

- Every program is a dynamic circuit
- Every call site is a 'conjuction point' in the dynamic circuit, because it connects to an instance of a function body
- The same call site with the same arguments is a unique joint point in the process graph, with a deterministic 'future'
- If the same <call site, argument type>
  combination has appear before in the
  path, there must be a loop



- Every program is a dynamic circuit
- Every call site is a 'conjuction point' in the dynamic circuit, because it connects to an instance of a function body
- The same call site with the same arguments is a unique joint point in the process graph, with a deterministic 'future'
- If the same <*call site, argument type*>
   combination has appear before in the
   path, there must be a loop

#### Related Work

- Similar to "control-flow analyses", but much simpler
  - No need to build CFG (as in original CFAs)
  - No need to maintain stack manually (as in CFA2)
  - "CFG" here is dynamic and implicit (maybe impossible to build statically)
  - Doesn't record any information on the AST
  - Recursive style leads to full utilization of host language
- Much simpler than type inferencer of JSCompiler (Google's type inference and static checker for JavaScript)
  - JSCompiler also needs type annotations, iirc
- Very similar to NCI (Near Concrete Interpretation)
  - But using another way to detect recursion

#### Connections to "Deeper" Theories

- In essence, the analysis is doing a simple version of "<u>supercompilation</u>"
- Similar to technique used by automatic theorem provers such as <u>ACL2</u>
- Does not track as much information (only type information is tracked)
- Termination technique is more efficient (no expensive "homeomorphic embedding" checks)
  - .. but may not be as accurate
  - may cause false-negatives!

#### Limitations

- Doesn't process bytecode. Needs all source code to be available (except for built-ins which was hardcoded or mocked)
- Does not track value/range of numbers
- Does not track heap storage (assume side-effects on heap won't affect typing)
- May produce false-negatives at recursions
- Worst-case complexity is high
  - More approximations can be used to improve efficiency (at the cost of reducing accuracy)
- Error reports are not user-friendly for deep bugs

# Applicability

- A general way of type inference/static analysis
- Can be applied to any programming language
- More useful for dynamic languages because type annotations of static languages make it a lot easier and more modular
- There are always trade-offs though

# Availability

- 2009 version "Jython Indexer" (in Java, open-source)
  - modular analysis with unification (similar to HM system)
  - can't resolve some names
  - fast
  - currently used by Google for building code index
  - open-sourced to <u>Jython</u>
- 2010 version "PySonar" (in Java, not open-source)
  - inter-procedural analysis
  - can resolve most names
  - can detect deeper semantic bugs
  - slow
- 2011 version "mini-pysonar" (in Python, open-source)
  - available from <u>GitHub</u>
  - contains only the essential parts for illustrating the idea

#### Possible Future Work

- Apply the technique to other (hopefully simpler) languages
- Publish a paper about the general method
- Derive other ideas from the same intuition

# Thank you!